Electronic Acknowledgement Receipt				
EFS ID:	14271805			
Application Number:	09688392			
International Application Number:				
Confirmation Number:	2870			
Title of Invention:	SYSTEM AND METHOD FOR TRADING TAXABLE AND NON-TAXABLE SECURITIES			
First Named Inventor/Applicant Name:	Thomas C. Naratil			
Customer Number:	21890			
Filer:	Jack S. Barufka/Jennifer Easton-Hall			
Filer Authorized By:	Jack S. Barufka			
Attorney Docket Number:	74622-015			
Receipt Date:	20-NOV-2012			
Filing Date:	16-OCT-2000			
Time Stamp:	08:15:29			
Application Type:	Utility under 35 USC 111(a)			

## Payment information:

Submitted with Payment	no

# File Listing:

- · · · · - · · · · · · · · · · · · · ·						
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
1		09688392.pdf	306287 el05b1d1695e2efbe560cfb1aae4fb401de4 108a	yes	3	

Multipart Description/PDF files in .	Multipart Description/PDF files in .zip description			
Document Description	Start	End		
Assignee showing of ownership per 37 CFR 3.73.	1	2		
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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.